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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/245,615

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JAMES P. HOFFLER

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EXAMINER

COOK, LISA V

ART UNIT

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 09/245,615	<b>Applicant(s)</b> HOEFFLER ET AL.	
	<b>Examiner</b> LISA V. COOK	<b>Art Unit</b> 1641	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 15 January 2009.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 31-36, 51, 54, 60-62, 66, 69-76 and 80-88 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 31-36, 51, 54, 60-62, 66, 69-76 and 80-88 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

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## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 1/15/09 has been entered.

### ***Amendment Entry***

2. Applicants' response to the Final Office Action mailed 3/19/08 is acknowledged (Paper filed 1/15/09). In the amendment filed therein claims 31, 54, 60, 69, 70, 71, 72, 73, 74, 75, 81, 82 and 83 were modified. New claims 84-88 were added. Claims 1-30, 37-50, 52-53, 55-59, 63-65, 67-68, and 77-79 have been canceled. Currently, claims 31-36, 51, 54, 60-62, 66, 69-76, and 80-88 are pending and under consideration.

3. Rejections and/or objections of record not reiterated below have been withdrawn.

### ***Claim Rejections - 35 USC § 112***

4. Claims 31-36, 51, 54, 60-62, 66, 69-76, 80-88 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

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Support for the newly amended and added claims has not been demonstrated in the instant specification. For example, the specification does not appear to support foci of an antibody. In other words the term "foci" is not recited in the disclosure. Also the units recited in claim 72 for example are not found in the disclosure. See "ng to µg".

Accordingly the new amendments and claims are deemed new matter which must be eliminated from the claim language. Applicant is invited to show specific support for the amended claims and newly added claims by page and line number.

### ***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(f) or (g) prior art under 35 U.S.C. 103(a).

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I. Claims 70-73, 80 and 84-88 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shalon et al. (WO 95/35505) in view of Bangs Laboratories, Inc., 8/29/99 pages 1-16.

Shalon et al. teach microarrays with immobilized reagents. The immobilized reagents include antibodies and antibody fragments that are dispensed on selected array positions. See abstract, page 11 lines 15-24, and page 31 lines 32-35, for example. The discrete positions on the microarray are spaced apart (spatially addressable) on the solid support. See page 5 line 33, page 6 line 2, page 7 line 26-27. The source (cell line or cell type) of the antibodies at each discrete location is known. See page 12 line 32 through page 13 line 2.

In one embodiment, the microarray is treated to reduce non-specific binding with a polycationic polymer. See page 7 lines 30-32. The microarray has reagents (antibodies) spotted in discrete positions between 0.01 nanoliters and 100 nanoliters. See page 6 lines 8-10.

The microarray also comprises regions from 100 locations per square centimeter to 1000 locations per square centimeter. Page 12 lines 3-9. Shalon et al. further disclose that each region in the array contains an analyte specific reagent. It inherently teaches embodiments wherein a collection of 1000 different antibodies are provided on the microarray. See page 5 lines 26-29 for example.

Shalon et al. differ from the instant invention in not specifically teaching solid supports or microarrays coated with dual antibodies or antigens for assay procedures.

However, the reference to Bangs Laboratories, Inc. identifies various tests, which can be useful with coated supports. These methods include agglutination test, ELISAs, turbidimetric immunoassays, sandwich test, and solid phase assays. Page 1

Introduction. In one embodiment the use of two antibodies(Ab) are employed such that one polyclonal Ab is adsorbed onto a particle (inner coating) and used to bind another monoclonal Ab (outer coat). The antibody on the outer layer (monoclonal) becomes more accessible because it sticks out further into the aqueous phase for greater antigen binding. See page 8 1<sup>st</sup> column 3<sup>rd</sup> paragraph.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to employ multiple reagent (complex) coated particles or insoluble supports as taught by Bangs Laboratories, Inc in the reagents and method of Sharon et al. because the reference to Bangs Laboratories, Inc. taught that this configuration (multiple reagent or complex coating) exhibited better activity or recognition of the antigen perhaps because the outer antibody is more free to move around and bind the antigen of interest. See page 8 2<sup>nd</sup> column 3<sup>rd</sup> paragraph. One of ordinary skill in the art would have been motivated to employ the inner/outer- coated particles of Bangs Laboratories, Inc. in order to acquire enhanced sensitivity.

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II. Claims 31-33, 36, 51, 54, 60-61, 66, 69, 74-76, and 81-83 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shalon et al. (WO 95/35505) in view of Bangs Laboratories, Inc., 8/29/99 pages 1-16 and further in view of Unla et al. (Electrophoresis, 1997, 18, pages 2071-2077) and Foster et al. (U.S. Patent #4,444,879).

Please see Shalon et al. (WO 95/35505) in view of Bangs Laboratories, Inc., 8/29/99 pages 1-16 as set forth above.

Shalon et al. in view of Bangs Laboratories, Inc., 8/29/99 pages 1-16 differ from the instant invention in not specifically teaching a first and second fluorescent dye for labeling a cell lysate.

However, Unla et al. teach assay procedures to detect protein differences between two samples. The protein differences between two samples are evaluated in a modified 2-DE (two dimensional polyacrylamide gel electrophoresis) technique called difference gel electrophoresis (DIGE). In particular, two protein samples are labeled with two cyanine dyes.

This allows for the simultaneous measurement of both samples on the same gel (array). Differences in the two samples due to differences in gene expression or protein modification can be identified quickly. See page 2071, 2<sup>nd</sup> column. In one embodiment *E. coli* transformed with the chimeric protein GAL4VP16 were induced for 15 min with IPTG. Extracts were labeled with either Cy3 or Cy5 and compared. See figure 4 on page 2076, for example.

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It would have been prima facie obvious to one of ordinary skill in the art at the time of applicant's invention to employ first and second fluorescent dyes as taught by Unla et al. with the microarray of Shalon et al. in view of Bangs Laboratories, Inc., 8/29/99 pages 1-16 because Unla et al. taught that this allows for the simultaneous measurement of two samples (cell lists) on the same gel (array). Differences in the two samples due to differences in gene expression or protein modification can be identified quickly. See page 2071, 2<sup>nd</sup> column.

Shalon et al. in view of Bangs Laboratories, Inc., 8/29/99 pages 1-16 and further in view of Unla et al. disclose the microarray and dual fluorescent dyes required by the claims. However, the references fail to teach kits. Kits are well known embodiments for assay reagents. Foster et al. (U.S. Patent #4,444,879) describe one example. In their patent kits including the reactant reagents, a microplate, positive controls, negative controls, standards, and instructions are taught. See figure 6, and column 15, lines 10-34.

It would have been prima facie obvious to one of ordinary skill in the art at the time of applicant's invention to take the detection assay microarray and reagents as taught by Shalon et al. (WO 95/35505) in view of Bangs Laboratories, Inc., 8/29/99 pages 1-16 and further in view of Unla et al. (Electrophoresis, 1997, 18, pages 2071-2077) and format them into a kit because Foster et al. teach that it is convenient to do so and one can enhance sensitivity of a method by providing reagents as a kit.



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Further, the reagents in a kit are available in pre-measured amounts, which eliminate the variability that can occur when performing the assay.

III. Claims 34 and 35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shalon et al. (WO 95/35505) in view of Bangs Laboratories, Inc., 8/29/99 pages 1-16 and further in view of Unla et al. (Electrophoresis, 1997, 18, pages 2071-2077) and Foster et al. (U.S.Patent#4,444,879) as applied to claims 31-33, 36, 51, 54, 60-61, 74-76, and 81-83 above, and further in view of Ragg and Whitlow (FASEB, Vo1.9, January 1995, pages 73-80).

Shalon et al. (WO 95/35505) in view of Bangs Laboratories, Inc., 8/29/99 pages 1-16 in view of Unla et al. (Electrophoresis, 1997, 18, pages 2071-2077) and Foster et al. (U.S.Patent#4,444,879) is set forth above.

Shalon et al. (WO 95/35505) in view of Bangs Laboratories, Inc., 8/29/99 pages 1-16 and further in view of Unla et al. (Electrophoresis, 1997, 18, pages 2071-2077) and Foster et al. (U.S.Patent#4,444,879) differ from the instant invention in not teaching antibody fragments such as single chain/stranded recombinant antibody compositions.

However, Raag and Whitlow disclose single chain recombinant antibody fragments (sFv) consisting of only the variable light chain (VL) and variable heavy chain (VH) domains covalently linked by a polypeptide linker. Because the single chain recombinant antibody fragments are small they have rapid pharmacokinetics and tumor penetration in vivo. See abstract.

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These single chain recombinant antibody fragments are derived from the antigen-binding domain of antibodies and are useful in any molecular recognition or binding application. See page 74 2<sup>nd</sup> column 2<sup>nd</sup> paragraph. SFv's are disclosed as time reducers in ELISA applications. See page 74 2<sup>nd</sup> column middle of the 3<sup>rd</sup> paragraph.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to use antibody fragments like recombinant single chain/stranded antibodies (sFv) as taught by Raag and Whitlow in the microarray of Shalon et al. (WO 95/35505) in view of Bangs Laboratories, Inc., 8/29/99 pages 1-16 in view of Unla et al. (Electrophoresis, 1997, 18, pages 2071-2077) and Foster et al. (U.S.Patent#4,444,879) to produce arrays to perform multiple sample analysis in the rapid detection systems because Raag and Whitlow taught that sFv's were small allowing for rapid penetration (abstract), useful in any antibody application (page 74 2<sup>nd</sup> column 2<sup>nd</sup> paragraph), and reduced time in ELISA procedures page 74 2<sup>nd</sup> column middle of the 3<sup>rd</sup> paragraph.

**IV.** Claim 62 is rejected under 35 U.S.C. 103(a) as being unpatentable over Shalon et al. (WO 95/35505) in view of Bangs Laboratories, Inc., 8/29/99 pages 1-16 and further in view of Unla et al. (Electrophoresis, 1997, 18, pages 2071-2077) and Foster et al. (U.S.Patent#4,444,879) as applied to claims 31-33, 36, 51, 54, 60-61, 74-76, and 81-83 above, and further in view of Kohler et al. (Nature, 256, August 7, 1975, pages 495-497).

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Shalon et al. (WO 95/35505) in view of Bangs Laboratories, Inc., 8/29/99 pages 1-16 and further in view of Unla et al. (Electrophoresis, 1997, 18, pages 2071-2077) and Foster et al. (U.S. Patent #4,444,879) is set forth above.

Shalon et al. (WO 95/35505) in view of Bangs Laboratories, Inc., 8/29/99 pages 1-16 and further in view of Unla et al. (Electrophoresis, 1997, 18, pages 2071-2077) and Foster et al. (U.S. Patent #4,444,879) differ from the instant invention in not teaching that the source of the antibodies is from a known hybridoma cell line.

However, Kohler et al. teach antibody production from a known hybridoma cell (tissue culture cell lines made from fused myeloma and spleen cells from an immunized donor). Kohler et al. disclose that the production of antibodies via hybridoma is a satisfactory source of monoclonal antibodies of predefined specificity.

The cells are versatile allowing for antibody production from different origins, can be grown in massive quantity, provide specific antibodies, and could prove valuable for medical and industrial utility. Page 495 1<sup>st</sup> paragraph and page 497 2<sup>nd</sup> column last paragraph. The specification teaches that the reference of Kohler et al. teaches hybridoma procedures on page 8 lines 13-19.

It would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to utilize hybridoma cells to produce antibodies as taught by Kohler et al. in the antibody microarray of Shalon et al. (WO 95/35505) in view of Bangs Laboratories, Inc., 8/29/99 pages 1-16 and further in view of Unla et al. (Electrophoresis, 1997, 18, pages 2071-2077) and further in view of Foster et al.

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(U.S. Patent #4,444,879) because Kohler et al. taught that hybridoma cells are versatile allowing for antibody production from different origins, can be grown in massive quantity, provide specific antibodies, and could prove valuable for medical and industrial utility. Page 495 1<sup>st</sup> paragraph and page 497 2<sup>nd</sup> column last paragraph.

### ***Response to Arguments***

Applicant's amendments and arguments have been carefully considered and found persuasive. Accordingly new rejections are presented herein.

6. For reasons aforementioned, no claims are allowed.

7. Papers related to this application may be submitted to Group 1600 by facsimile transmission. The Group 1641 – Central Fax number is (571) 273-8300, which is able to receive transmissions 24 hours/day, 7 days/week.

In the event Applicant would like to fax an unofficial communication, the Examiner should be contacted for the appropriate Right Fax number.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lisa V. Cook whose telephone number is (571) 272-0816. The examiner can normally be reached on Monday - Friday from 7:00 AM - 4:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mark Shibuya, can be reached on (571) 272-0806.

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Any inquiry of a general nature or relating to the status of this application should be directed to Group TC 1600 whose telephone number is (571) 272-1600.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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